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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No. .... 08/886,388  
3 Filing Date ..... July 1, 1997  
4 Inventor ..... Gurtej Sandhu et al.  
5 Assignee ..... Micron Technology, Inc.  
6 Group Art Unit ..... 2811  
7 Examiner ..... S. Crane  
8 Attorney's Docket No. .... MI22-713  
Title: Capacitor Constructions (As Amended)

#22  
8-700  
Payton



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8 **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

9 References -- See attached Form PTO-1449

10 In compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, your attention is directed  
11 to the United States patents and other references listed on the attached Form PTO-  
12 1449. No admission is made regarding whether all the submitted references are prior  
13 art.

14 Citation of these references is respectfully requested.

15 Respectfully submitted,

16 Date: July 20, 2000

17   
Frederick M. Fliegel, Ph.D.

18 Reg. No. 36,138

19 Wells, St. John, Roberts, Gregory & Matkin P.S.  
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20 240.00 OP

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GAU-2811

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Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)



		Application Number	08/886,388
		Filing Date	July 1, 1997
		First Named Inventor	Gurtej S. Sandhu et al.
		Group Art Unit	2811
		Examiner Name	S. Crane
Total Number of Pages in This Submission	4	Attorney Docket Number MI 22-713	

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Postcard.
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	Prior art references.
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		
The Commissioner is hereby authorized to charge any additional fees required under 37 CFR Sections 1.16 and 1.17 and credit any overpayments to: 23-0925.		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Frederick M. Fliegel, Ph.D., Reg. No. 36,138 Wells, St. John, Roberts, Gregory & Matkin P.S. Customer No. 021567	TC 2303 MAIL ROOM
Signature		REC'D JUN -7 2000
Date	July 20, 2000	CERTIFICATE OF MAILING

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Typed or printed name	Robin Saldivia	
Signature		Date 7/20/00

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# FEE TRANSMITTAL

## for FY 2000

Patent fees are subject to annual revision.

**Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.**

JUL 26 2000  
PATENT & TRADEMARK OFFICE

TOTAL AMOUNT OF PAYMENT (\$ 240.00)

**Complete if Known**

Application Number	08/886,388
Filing Date	July 1, 1997
First Named Inventor	Gurtej S. Sandhu et al.
Examiner Name	S. Crane
Group / Art Unit	2811
Attorney Docket No.	MI22-713

**METHOD OF PAYMENT (check one)**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 23-0925

Deposit Account Name Wells, St. John et al.

Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2.  Payment Enclosed:  
 Check     Money Order     Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 0)

**2. EXTRA CLAIM FEES**

Total Claims	-20** =	X	Fee from below	Fee Paid
Independent Claims	- 3*** =	X		
Multiple Dependent		X		

\*\* or number previously paid, if greater; For Reissues, see below

**Large Entity Small Entity**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 240.00)

**SUBMITTED BY**

Name (Print/Type)	Frederick M. Fliegel Ph.D.	Registration No. (Attorney/Agent)	36,138	Telephone	509-624-4276
Signature				Date	July 20, 2000

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